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JCS27 U.S. PTO 9/13/00	<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <b>(Large Entity)</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Docket No. 2204/A50
	Total Pages in this Submission 37	

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SYSTEM, DEVICE, AND METHOD FOR RECEIVER ACCESS CONTROL IN AN INTERNET TELEVISION SYSTEM**

and invented by:

Bradley Cain  
Thomas P. Hardjono

16825 U.S. PTO  
09/661273  
09/13/00

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Which is a:

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Which is a:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Enclosed are:

**Application Elements**

1.  Filing fee as calculated and transmitted as described below
2.  Specification having 25 pages and including the following:
  - a.  Descriptive Title of the Invention
  - b.  Cross References to Related Applications (*if applicable*)
  - c.  Statement Regarding Federally-sponsored Research/Development (*if applicable*)
  - d.  Reference to Microfiche Appendix (*if applicable*)
  - e.  Background of the Invention
  - f.  Brief Summary of the Invention
  - g.  Brief Description of the Drawings (*if drawings filed*)
  - h.  Detailed Description
  - i.  Claim(s) as Classified Below
  - j.  Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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37

**Application Elements (Continued)**

3.  Drawings(s) *(when necessary as prescribed by 35 USC 113)*  
a.  Formal Number of Sheets \_\_\_\_\_  
b.  Informal Number of Sheets 4 \_\_\_\_\_

4.  Oath or Declaration  
a.  Newly executed *(original or copy)*  Unexecuted  
b.  Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*  
c.  With Power of Attorney  Without Power of Attorney  
d.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6.  Computer Program in Microfiche *(Appendix)*

7.  Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*  
a.  Paper Copy  
b.  Computer Readable Copy *(identical to computer copy)*  
c.  Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8.  Assignment Papers *(cover sheet & document(s))*

9.  37 CFR 3.73(B) Statement *(when there is an assignee)*

10.  English Translation Document *(if applicable)*

11.  Information Disclosure Statement/PTO-1449  Copies of IDS Citations

12.  Preliminary Amendment

13.  Acknowledgment postcard

14.  Certificate of Mailing

First Class  Express Mail *(Specify Label No.):* EL543500020US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

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37

**Accompanying Application Parts (Continued)**

15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16.  Additional Enclosures *(please identify below):*

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**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
<b>Total Claims</b>	55	- 20 =	35	x \$18.00	\$630.00
<b>Indep. Claims</b>	6	- 3 =	3	x \$78.00	\$234.00
<b>Multiple Dependent Claims (check if applicable)</b>	<input type="checkbox"/>				\$0.00
				<b>BASIC FEE</b>	\$690.00
<b>OTHER FEE (specify purpose)</b>					\$0.00
				<b>TOTAL FILING FEE</b>	\$1,554.00

A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.

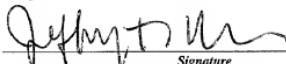
The Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \_\_\_\_\_ as filing fee.

Credit any overpayment.

Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
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Dated: September 13, 2000

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR UNITED STATES PATENT

FOR

**SYSTEM, DEVICE, AND METHOD FOR RECEIVER ACCESS CONTROL  
IN AN INTERNET TELEVISION SYSTEM**

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FEDERAL BUREAU OF INVESTIGATION  
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## SYSTEM, DEVICE, AND METHOD FOR RECEIVER ACCESS CONTROL IN AN INTERNET TELEVISION SYSTEM

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### CROSS-REFERENCE TO RELATED APPLICATION

The present patent application may be related to the following commonly owned  
United States patent applications, which are hereby incorporated herein by reference in  
their entireties:

United States Patent Application No. 09/607,007 entitled SYSTEM, DEVICE,  
AND METHOD FOR CONTROLLING ACCESS IN AN MULTICAST  
COMMUNICATION NETWORK, filed on June 29, 2000 in the names of Thomas P.  
Hardjono and Bradley Cain (Attorney Docket No. 2204/A46);

United States Patent Application No. XX/XXX,XXX entitled SYSTEM, DEVICE,  
AND METHOD FOR RECEIVER ACCESS CONTROL IN A MULTICAST  
COMMUNICATION SYSTEM, filed on even date herewith in the names of Bradley Cain  
and Thomas P. Hardjono (Attorney Docket No. 2204/A48); and

United States Patent Application No. XX/XXX,XXX entitled SYSTEM, DEVICE,  
AND METHOD FOR DISTRIBUTING ACCESS CONTROL INFORMATION IN A  
COMMUNICATION SYSTEM, filed on even date herewith in the names of Bradley Cain  
and Thomas P. Hardjono (Attorney Docket No. 2204/A49).

25

### FIELD OF THE INVENTION

The present invention relates generally to communication systems, and more  
particularly to access control in an internet television system.

30

### BACKGROUND OF THE INVENTION

In today's information age, communication networks are often used for transporting  
information from an information provider to one or more information consumers.

One technique for transporting information from an information provider to a group of information consumers over the communication network is known as "multicasting." Multicasting allows the information provider (referred to hereinafter as a "multicast source") to transmit a single unit of multicast information (referred to hereinafter as a "multicast packet") simultaneously to all information consumers (referred to hereinafter individually as a "multicast client" and collectively as "multicast clients") in the multicast group, specifically by addressing the multicast packet to the multicast group using a multicast address. The multicast clients monitor the communication network for multicast packets addressed to the multicast group.

10 In order to distribute multicast packets from a particular multicast source S to the multicast clients for a particular multicast group G, the multicast packet is routed through the communication network by a number of routers. The communication network may include multiple routing domains, and therefore the multicast packet may traverse multiple routing domains. Each router runs various routing protocols to determine, among other things, a "next hop" for each packet based upon address information in the packets. Such routing information is used to establish a multicast distribution tree (referred to hereinafter as the "shared tree"), and is maintained by each router in one or more routing tables (often referred to as a "routing information base").

20 One multicast application is internet television. For internet television, television channels are sent to various subscribers over an IP network using IP multicast groups. Specifically, each television channel is carried over a different multicast group, and the subscribers join the multicast groups in order to receive the television channels.

25 For certain types of internet television services, it is necessary to limit access to certain television channels such that only a predetermined set of authorized users can access a particular television channel. Such limited access may be used, for example, for premium (pay) channels, subscription channels, "pay per view" channels, special event channels, local blackout, or parental lockout. Unfortunately, many multicast communication networks are based upon an anonymous receiver model in which any host can join any multicast group, for example, using multicast group management protocol such as the Internet Group Management Protocol (IGMP). IGMP is described in Fenner,

Internet Engineering Task Force (IETF) Request for Comments (RFC) 2236 entitled Internet Group Management Protocol, Version 2 (November 1997) and in Cain et al., Internet Engineering Task Force (IETF) Internet Draft draft-ietf-idmr-igmp-v3-04.txt entitled Internet Group Management Protocol, Version 3 (June 2000), which are hereby incorporated herein by reference in their entireties.

Furthermore, in order for the internet television service to parallel regular broadcast and cable television services, it is important for subscribers to be able to change channels quickly. Changing channels may involve leaving one multicast group and quickly joining another multicast group.

Unfortunately, typical multicast mechanisms provide neither the ability to limit access to multicast groups nor the ability to quickly switch between multicast groups that is required for internet television. Thus, an access control mechanism that provides for quick channel changes is needed.

## 15 SUMMARY OF THE INVENTION

In accordance with one aspect of the invention, a push mechanism is used to distribute access control information from a distribution device to an access device. The access device uses the access control information to make receiver access control decisions for a subsequently received request from a host to join a television channel multicast group.

One push mechanism uses a reliable multicast mechanism to distribute the access control information from the distribution device to the access device.

25 Another push mechanism uses a policy service to distribute the access control information in the form of policy information from the distribution device to the access device.

30 Yet another push mechanism uses a management mechanism to distribute the access control information in the form of management information from the distribution device to the access device.

### BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects and advantages of the invention will be appreciated more fully from the following further description thereof with reference to the accompanying drawings wherein:

5 FIG. 1 is a block diagram showing an exemplary communication system in accordance with an embodiment of the present invention;

10 FIG. 2 is a logic flow diagram showing exemplary distribution device logic for distributing access control information to an access device using a push mechanism in accordance with an embodiment of the present invention;

15 FIG. 3 is a logic flow diagram showing exemplary access device logic for providing receiver access control using access control information received from a distribution device using a push mechanism in accordance with an embodiment of the present invention; and

20 FIG. 4 is a block diagram showing the relevant logic blocks of an exemplary access device in accordance with an embodiment of the present invention.

### DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT

In a typical internet television system, each host accesses the internet television system through an access device. Before a host is permitted to join a television channel multicast group (i.e., the multicast group associated with the television channel), the host is authenticated to determine whether or not the host is authorized to access the television channel. This authentication can be done at a central location, at the access devices, or at various intermediate devices. If the host is authorized to access the television channel, then the host is joined to the television channel multicast group so that the host can receive the television channel.

25 30 In an embodiment of the present invention, the authentication is performed by the access control devices based upon access control information obtained from a main server. The access control information is used by an access device to determine whether a

particular host is authorized to receive a particular television channel. When an access device receives a request from a host to join a particular television channel multicast group (i.e., the multicast group associated with the television channel), the access device decides whether to accept or reject the host based upon the access control information. If the access device decides to accept the host, then the access device joins the host to the television channel multicast group so that the host can receive the television channel.

In a typical prior art embodiment in which the authentication is performed at the access devices, the access device typically requests or retrieves the access control information from the main server upon receiving the request from the host to join the television channel multicast group, and then uses the access control information to authenticate the host. Because the access device does not obtain the access control information until after the request is received from the host, there may be substantial delay in authenticating the host. This in turn can cause significant delays in changing channels.

Therefore, in an embodiment of the present invention, the access control information is distributed from the main server to the access devices in such a way that the access devices receive the access control information before it is needed and without requesting or otherwise retrieving the access control information from the main server. The access devices maintain the access control information in a database for subsequent use in authenticating hosts. Because the access device obtains the access control information before the request is received from the host, there is essentially no delay in authenticating the host. This in turn reduces delays in changing channels.

Thus, by distributing the access control information in advance and performing receiver access control at the access points of the internet television system, an embodiment of the present invention provides the access control and quick channel changing capabilities that are desirable for an internet television service.

FIG. 1 shows an exemplary communication system 100. The communication system 100 includes a distribution server 110 in communication with an access device 130 such as a router or switch over a network 120. A host device 140 accesses the network 120 via the access device 130.

The access device 130 and the host 140 typically implement a multicast group management protocol, such as the IGMP as described in Fenner, Internet Engineering Task Force (IETF) Request for Comments (RFC) 2236 entitled Internet Group Management Protocol, Version 2 (November 1997) and in Cain et al., Internet Engineering Task Force (IETF) Internet Draft draft-ietf-idmr-igmp-v3-04.txt entitled Internet Group Management Protocol, Version 3 (June 2000), which are hereby incorporated herein by reference in their entireties. Among other things, the host 140 uses the multicast group management protocol to request membership in a particular television channel multicast group. With respect to the multicast group management protocol, the access device 130 may be referred to as the Multicast Access Point (MAP) for the host 140.

The access device 130 typically implements a multicast routing protocol, such as the Protocol Independent Multicast (PIM) multicast routing protocol, in order to join the host 140 to a television channel multicast group that it is authorized to receive. PIM is a well-known protocol for routing multicast packets within a multicast routing domain. PIM is so named because it is not dependent upon any particular unicast routing protocol for setting up a multicast distribution tree within the multicast routing domain. PIM has two modes of operation, specifically a sparse mode and a dense mode. PIM Sparse Mode (PIM-SM) is described in Estrin et al., Internet Engineering Task Force (IETF) Request For Comments (RFC) 2362, Protocol Independent Multicast - Sparse Mode (PIM-SM): Protocol Specification (June 1998), which is hereby incorporated herein by reference in its entirety. PIM Dense Mode (PIM-DM) is described in Deering et al., Internet Engineering Task Force (IETF) Internet Draft draft-ietf-pim-v2-dm-03.txt, Protocol Independent Multicast Version 2 Dense Mode Specification (June 7, 1999), which is hereby incorporated herein by reference in its entirety. Thus, the access device 130 may be a PIM Designated Router (DR) for the host device 140.

The distribution server 110 maintains the access control information in a database. The distribution server 110 may obtain the access control information in various ways. For example, the access control information may be configured at the distribution server 110 through a management interface, or the distribution server 110 may obtain the access control information from a main server (not shown) using a reliable multicast mechanism,

as described in the related application 2204/A49. The present invention is in no way limited by the manner in which the distribution server 110 obtains the access control information.

5 In order to efficiently distribute the access control information to the access devices, the access control information is typically distributed to the access devices using a “push” mechanism by which current access control information is sent to the access devices without the access devices having to request or retrieve the access control information. The access control information may be sent by the main server at various times. For example, the access control information may be sent by the distribution server 10 110 periodically and/or as changes occur. The access control information typically includes a sequence number or other identifier for identifying a specific version of access control information, and is used for differentiating between different versions of access control information.

15 Thus, the distribution server 110 and the access device 130 implement a “push” mechanism by which the access control information is distributed from the distribution server 110 to the access device 130. Among other things, the “push” mechanism may employ unicast, multicast, or broadcast techniques. The present invention is in no way limited to any particular “push” mechanism or mechanisms.

20 One exemplary “push” mechanism uses reliable multicast (RM) to distribute the access control information to the access devices. Specifically, the distribution server 110 and all access devices support the reliable multicast mechanism. All access devices, including the access device 130, join a dedicated multicast group. The distribution server 110 obtains access control information, for example, through a management interface, and sends the access control information to the access devices using a multicast group address 25 associated with the multicast group according to the reliable multicast mechanism. Each access device, including the access device 130, recovers the access control information using the reliable multicast mechanism and stores the access control information in a database for later use in making receiver access control decisions.

30 Another exemplary “push” mechanism uses a policy service, such as the Common Open Policy Service (COPS), to distribute the access control information in the form of

policy information to the access devices. COPS is described in the Internet Engineering Task Force (IETF) Request for Comments (RFC) 2748 entitled The COPS (Common Open Policy Service) Protocol (January 2000), which is hereby incorporated herein by reference in its entirety. In order to distribute the access control information using the 5 policy service, a policy service connection is typically established from the distribution server 110 to the access device 130 over the network 120, and the access control information is sent in the form of policy information from the distribution server 110 to the access device 130 over the policy service connection.

With respect to the policy service, the device at which policy decisions are made is 10 commonly referred to as the Policy Decision Point (PDP), and the device at which the policy decisions are enforced is commonly referred to as the Policy Enforcement Point (PEP).

In a typical policy service application, as described in the related application 15 2204/A48, the distribution server 110 makes the policy decisions and the access device 130 enforces the policy decisions. Therefore, the distribution server 110 is typically the PDP while the access device 130 is typically the PEP.

In an embodiment of the present invention, however, the access device 130 makes 20 and enforces the policy decisions. Specifically, the access device 130 obtains the access control information from the distribution server 110, maintains the access control information in a database, uses the access control information to determine whether the host 140 is authorized to join the television channel multicast group, and either admits the host 140 to the television channel multicast group, if the host 140 is authorized to join the television channel multicast group, or rejects the host 140, if the host 140 is not authorized to join the television channel multicast group. Therefore, the access device 130 is both the 25 PDP and the PEP.

Yet another exemplary “push” mechanism uses a management mechanism, such as the Simple Network Management Protocol (SNMP) or Command Line Interface (CLI), to distribute the access control information in the form of management information to the access devices. The management mechanism provides a management path between the

distribution device 110 and the access device 130 over which the distribution device 110 forwards access control information to the access device 130.

FIG. 2 shows exemplary distribution device logic 200 for distributing access control information to the access devices using a push mechanism. Beginning in block 202, the logic maintains access control information, in block 204, and distributes the access control information to the access device using a predetermined push mechanism, in block 206. The logic may distribute access control information at various times, for example, periodically and/or when changes occur. The logic 200 terminates in block 299.

FIG. 3 shows exemplary access device logic 300 for providing receiver access control using access control information received from the distribution device using a push mechanism. Beginning in block 302, the logic receives the access control information from the distribution device using a predetermined push mechanism, in block 304, and typically stores the access control information in a database. The logic subsequently receives a request from the host to join a television channel multicast group, in block 306. Upon receiving the request from the host to join the television channel multicast group, in block 306, the logic determines whether the host is authorized to join the television channel multicast group based upon the access control information received from the distribution device, in block 308. If the host is authorized to join the television channel multicast group (YES in block 310), then the logic admits the host to the television channel multicast group, in block 312. If the host is not authorized to join the television channel multicast group (NO in block 310), then the logic rejects the host. The logic 300 terminates in block 399.

FIG. 4 shows the relevant logic blocks of an exemplary access device 130. Among other things, the access device 130 includes distribution logic 402, database 404, access control logic 406, and host interface logic 408. The distribution logic 402 uses a predetermined push mechanism to obtain access control information from the distribution device 110, and stores the access control information in the database 404. The host interface logic 408 implements a multicast group management protocol, such as IGMP, for receiving a request from the host device 140 to join a television channel multicast group.

When the host interface logic 408 receives a request from the host device 140 to join a

television channel multicast group, the access control logic 406 retrieves access control information from the database 404 and uses the access control information to determine whether the host device 140 is authorized to join the television channel multicast group. If the host device 140 is authorized to join the television channel multicast group, then the 5 access control logic 406 admits the host device 140 to the television channel multicast group. Admitting the host device 140 to the television channel multicast group may involve joining the television channel multicast group by the access control logic 406 and adding the host device 140 to an outgoing list in a forwarding table for forwarding television channel multicast data to the host device 140. If the host device 140 is not authorized to join the television channel multicast group, then the access control logic 406 rejects the host device 140.

10 It should be noted that the present invention is in no way limited to any particular push mechanism. The present invention can be embodied using any mechanism by which access control information is pushed to the access devices for making receiver access control decisions for a subsequently received request from a host to join a television channel multicast group.

15 It should be noted that terms such as "router" and "server" are used herein to describe various communication devices that may be used in a communication system, and should not be construed to limit the present invention to any particular communication 20 device type. Thus, a communication device may include, without limitation, a bridge, router, bridge-router (brouter), switch, node, server, or other communication device.

25 It should also be noted that the logic flow diagrams are used herein to demonstrate various aspects of the invention, and should not be construed to limit the present invention to any particular logic flow or logic implementation. The described logic may be partitioned into different logic blocks (e.g., programs, modules, functions, or subroutines) without changing the overall results or otherwise departing from the true scope of the invention. Often times, logic elements may be added, modified, omitted, performed in a different order, or implemented using different logic constructs (e.g., logic gates, looping primitives, conditional logic, and other logic constructs) without changing the overall 30 results or otherwise departing from the true scope of the invention.

The present invention may be embodied in many different forms, including, but in no way limited to, computer program logic for use with a processor (e.g., a microprocessor, microcontroller, digital signal processor, or general purpose computer), programmable logic for use with a programmable logic device (e.g., a Field Programmable Gate Array (FPGA) or other PLD), discrete components, integrated circuitry (e.g., an Application Specific Integrated Circuit (ASIC)), or any other means including any combination thereof. In a typical embodiment of the present invention, predominantly all of the distribution device logic and access device logic is implemented as a set of computer program instructions that is converted into a computer executable form, stored as such in a computer readable medium, and executed by a microprocessor within the distribution device 110 and the access device 130, respectively, under the control of an operating system.

Computer program logic implementing all or part of the functionality previously described herein may be embodied in various forms, including, but in no way limited to, a source code form, a computer executable form, and various intermediate forms (e.g., forms generated by an assembler, compiler, linker, or locator). Source code may include a series of computer program instructions implemented in any of various programming languages (e.g., an object code, an assembly language, or a high-level language such as Fortran, C, C++, JAVA, or HTML) for use with various operating systems or operating environments. The source code may define and use various data structures and communication messages. The source code may be in a computer executable form (e.g., via an interpreter), or the source code may be converted (e.g., via a translator, assembler, or compiler) into a computer executable form.

The computer program may be fixed in any form (e.g., source code form, computer executable form, or an intermediate form) either permanently or transitorily in a tangible storage medium, such as a semiconductor memory device (e.g., a RAM, ROM, PROM, EEPROM, or Flash-Programmable RAM), a magnetic memory device (e.g., a diskette or fixed disk), an optical memory device (e.g., a CD-ROM), or other memory device. The computer program may be fixed in any form in a signal that is transmittable to a computer using any of various communication technologies, including, but in no way limited to,

analog technologies, digital technologies, optical technologies, wireless technologies, networking technologies, and internetworking technologies. The computer program may be distributed in any form as a removable storage medium with accompanying printed or electronic documentation (e.g., shrink wrapped software), preloaded with a computer system (e.g., on system ROM or fixed disk), or distributed from a server or electronic bulletin board over the communication system (e.g., the Internet or World Wide Web).

5           Hardware logic (including programmable logic for use with a programmable logic device) implementing all or part of the functionality previously described herein may be designed using traditional manual methods, or may be designed, captured, simulated, or documented electronically using various tools, such as Computer Aided Design (CAD), a hardware description language (e.g., VHDL or AHDL), or a PLD programming language (e.g., PALASM, ABEL, or CUPL).

10           Programmable logic may be fixed either permanently or transitorily in a tangible storage medium, such as a semiconductor memory device (e.g., a RAM, ROM, PROM, EEPROM, or Flash-Programmable RAM), a magnetic memory device (e.g., a diskette or fixed disk), an optical memory device (e.g., a CD-ROM), or other memory device. The programmable logic may be fixed in a signal that is transmittable to a computer using any of various communication technologies, including, but in no way limited to, analog technologies, digital technologies, optical technologies, wireless technologies, networking technologies, and internetworking technologies. The programmable logic may be distributed as a removable storage medium with accompanying printed or electronic documentation (e.g., shrink wrapped software), preloaded with a computer system (e.g., on system ROM or fixed disk), or distributed from a server or electronic bulletin board over the communication system (e.g., the Internet or World Wide Web).

15           20           The present invention may be embodied in other specific forms without departing from the true scope of the invention. The described embodiments are to be considered in all respects only as illustrative and not restrictive.

We claim:

1. An access control method for an internet television system, the access control method comprising:

5 distributing access control information from a distribution device to an access device for use by the access device in authenticating a subsequent request by a host device to join a television channel multicast group;

receiving, by the access device, the subsequent request by the host device to join the television channel multicast group;

10 determining, by the access device, whether the host device is authorized to join the television channel multicast group based upon the access control information distributed from the distribution device; and

15 admitting, by the access device, the host device to the television channel multicast group if and only if the host device is determined to be authorized to join the television channel multicast group.

2. The access control method of claim 1, wherein distributing the access control information from the distribution device to the access device comprises:

20 pushing the access control information from the distribution device to the access control device using a predetermined push mechanism.

3. The access control method of claim 2, wherein the predetermined push mechanism comprises a reliable multicast mechanism.

25 4. The access control method of claim 3, wherein pushing the access control information from the distribution device to the access control device using the predetermined push mechanism comprises:

joining a predetermined multicast group by the access device;

30 sending the access control information to the predetermined multicast group by the distribution device using the reliable multicast mechanism;

receiving the access control information by the access device from the multicast group using the reliable multicast mechanism.

5. The access control method of claim 2, wherein the predetermined push mechanism comprises a policy service.

6. The access control method of claim 5, wherein the policy service comprises a Common Open Policy Service (COPS).

10 7. The access control method of claim 5, wherein pushing the access control information from the distribution device to the access control device using a predetermined push mechanism comprises:

sending the access control information from the distribution device to the access device in the form of policy information using the policy service.

15 8. The access control method of claim 2, wherein the predetermined push mechanism comprises a management mechanism.

20 9. The access control method of claim 8, wherein the management mechanism comprises a Simple Network Management Protocol (SNMP).

10. The access control method of claim 8, wherein the management mechanism comprises a Command Line Interface (CLI).

25 11. The access control method of claim 8, wherein pushing the access control information from the distribution device to the access control device using a predetermined push mechanism comprises:

sending the access control information from the distribution device to the access device in the form of management information using the management mechanism.

12. The access control method of claim 1, wherein determining whether the host device is authorized to join the television channel multicast group comprises:

authenticating the host device based upon the access control information.

5 13. The access control method of claim 1, wherein admitting the host device to the television channel multicast group comprises:

joining the television channel multicast group by the access device using a predetermined multicast routing protocol.

10 14. The access control method of claim 13, wherein the predetermined multicast routing protocol comprises a Protocol Independent Multicast (PIM) multicast routing protocol.

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15. An apparatus for distributing access control information in an internet television system, the apparatus comprising:
  - 5 maintenance logic operably coupled to maintain access control information; and distribution logic operably coupled to distribute the access control information to at least one access device using a predetermined push mechanism.
16. The apparatus of claim 15, wherein the predetermined push mechanism comprises a reliable multicast mechanism.
- 10 17. The apparatus of claim 16, wherein the distribution logic is operably coupled to send the access control information to a predetermined multicast group using the reliable multicast mechanism.
- 15 18. The apparatus of claim 15, wherein the predetermined push mechanism comprises a policy service.
19. The apparatus of claim 18, wherein the policy service comprises a Common Open Policy Service (COPS).
- 20 25 20. The apparatus of claim 18, wherein the distribution logic is operably coupled to send the access control information to the access device in the form of policy information using the policy service.
21. The apparatus of claim 15, wherein the predetermined push mechanism comprises a management mechanism.
22. The apparatus of claim 21, wherein the management mechanism comprises a Simple Network Management Protocol (SNMP).

23. The apparatus of claim 21, wherein the management mechanism comprises a Command Line Interface (CLI).
24. The apparatus of claim 21, wherein the distribution logic is operably coupled to send the access control information from the distribution device to the access device in the form of management information using the management mechanism.



25. A computer program for controlling a computer system, the computer program comprising:

5 maintenance logic programmed to maintain access control information; and  
distribution logic programmed to distribute the access control information to at least one access device using a predetermined push mechanism.

26. The computer program of claim 25, wherein the predetermined push mechanism comprises a reliable multicast mechanism.

10 27. The computer program of claim 26, wherein the distribution logic is programmed to send the access control information to a predetermined multicast group using the reliable multicast mechanism.

15 28. The computer program of claim 25, wherein the predetermined push mechanism comprises a policy service.

29. The computer program of claim 28, wherein the policy service comprises a Common Open Policy Service (COPS).

20 30. The computer program of claim 28, wherein the distribution logic is programmed to send the access control information to the access device in the form of policy information using the policy service.

25 31. The computer program of claim 25, wherein the predetermined push mechanism comprises a management mechanism.

32. The computer program of claim 31, wherein the management mechanism comprises a Simple Network Management Protocol (SNMP).

33. The computer program of claim 31, wherein the management mechanism comprises a Command Line Interface (CLI).
34. The computer program of claim 31, wherein the distribution logic is programmed to send the access control information from the distribution device to the access device in the form of management information using the management mechanism.

35. An apparatus for providing receiver access control in an internet television system, the apparatus comprising:

    distribution logic operably coupled to receive access control information from a distribution device using a predetermined push mechanism;

    5 host interface logic operably coupled to receive a request from a host device to join a television channel multicast group; and

    access control logic operably coupled to determine whether the host device is authorized to join the television channel multicast group based upon the access control information.

10 36. The apparatus of claim 35, wherein the predetermined push mechanism comprises a reliable multicast mechanism.

15 37. The apparatus of claim 36, wherein the distribution logic is operably coupled to join a predetermined multicast group and receive the access control information from the predetermined multicast group using the reliable multicast mechanism.

38. The apparatus of claim 35, wherein the predetermined push mechanism comprises a policy service.

20 39. The apparatus of claim 38, wherein the policy service comprises a Common Open Policy Service (COPS).

25 40. The apparatus of claim 38, wherein the distribution logic is operably coupled to receive the access control information from the distribution device in the form of policy information using the policy service.

41. The apparatus of claim 35, wherein the predetermined push mechanism comprises a management mechanism.

42. The apparatus of claim 41, wherein the management mechanism comprises a Simple Network Management Protocol (SNMP).

43. The apparatus of claim 41, wherein the management mechanism comprises a Command Line Interface (CLI).

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44. The apparatus of claim 41, wherein the distribution logic is operably coupled to receive the access control information from the distribution device in the form of management information using the management mechanism.

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45. A computer program for controlling a computer system, the computer program comprising:

distribution logic programmed to receive access control information from a distribution device using a predetermined push mechanism;

5 host interface logic programmed to receive a request from a host device to join a television channel multicast group; and

access control logic programmed to determine whether the host device is authorized to join the television channel multicast group based upon the access control information.

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46. The computer program of claim 45, wherein the predetermined push mechanism comprises a reliable multicast mechanism.

47. The computer program of claim 46, wherein the distribution logic is programmed to join a predetermined multicast group and receive the access control information from the predetermined multicast group using the reliable multicast mechanism.

48. The computer program of claim 45, wherein the predetermined push mechanism comprises a policy service.

20 49. The computer program of claim 48, wherein the policy service comprises a Common Open Policy Service (COPS).

50. The computer program of claim 48, wherein the distribution logic is programmed to receive the access control information from the distribution device in the form of policy information using the policy service.

51. The computer program of claim 45, wherein the predetermined push mechanism comprises a management mechanism.

52. The computer program of claim 51, wherein the management mechanism comprises a Simple Network Management Protocol (SNMP).
53. The computer program of claim 51, wherein the management mechanism comprises a Command Line Interface (CLI).
54. The computer program of claim 51, wherein the distribution logic is programmed to receive the access control information from the distribution device in the form of management information using the management mechanism.

55. An internet television system comprising a distribution device in communication with at least one access device over a communication network, wherein the distribution device uses a predetermined push mechanism to distribute access control information to the at least one access device, and wherein the at least one access device uses the access control information to control access to at least one television channel multicast group.

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**ABSTRACT OF THE DISCLOSURE**

5 A system, device, and method for receiver access control in an internet television system uses a push mechanism to distribute access control information from a distribution device to an access device. The access device uses the access control information to make receiver access control decisions for a subsequently received request from a host to join a television channel multicast group.

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 299 300 301 302 303 304 305 306 307 308 309 309 310 311 312 313 314 315 316 317 318 319 319 320 321 322 323 324 325 326 327 328 329 329 330 331 332 333 334 335 336 337 338 339 339 340 341 342 343 344 345 346 347 348 349 349 350 351 352 353 354 355 356 357 358 359 359 360 361 362 363 364 365 366 367 368 369 369 370 371 372 373 374 375 376 377 378 379 379 380 381 382 383 384 385 386 387 388 389 389 390 391 392 393 394 395 396 397 398 399 399 400 401 402 403 404 405 406 407 408 409 409 410 411 412 413 414 415 416 416 417 418 419 419 420 421 422 423 424 425 426 427 428 429 429 430 431 432 433 434 435 436 437 438 439 439 440 441 442 443 444 445 446 447 448 449 449 450 451 452 453 454 455 456 457 458 459 459 460 461 462 463 464 465 466 467 468 469 469 470 471 472 473 474 475 476 477 478 479 479 480 481 482 483 484 485 486 487 488 489 489 490 491 492 493 494 495 496 497 498 498 499 499 500 501 502 503 504 505 506 507 508 509 509 510 511 512 513 514 515 516 517 518 519 519 520 521 522 523 524 525 526 527 528 529 529 530 531 532 533 534 535 536 537 538 539 539 540 541 542 543 544 545 546 547 548 549 549 550 551 552 553 554 555 556 557 558 559 559 560 561 562 563 564 565 566 567 568 569 569 570 571 572 573 574 575 576 577 578 579 579 580 581 582 583 584 585 586 587 588 589 589 590 591 592 593 594 595 596 597 598 598 599 599 600 601 602 603 604 605 606 607 608 609 609 610 611 612 613 614 615 616 617 618 619 619 620 621 622 623 624 625 626 627 628 629 629 630 631 632 633 634 635 636 637 638 639 639 640 641 642 643 644 645 646 647 648 649 649 650 651 652 653 654 655 656 657 658 659 659 660 661 662 663 664 665 666 667 668 669 669 670 671 672 673 674 675 676 677 678 679 679 680 681 682 683 684 685 686 687 688 689 689 690 691 692 693 694 695 696 697 698 698 699 699 700 701 702 703 704 705 706 707 708 709 709 710 711 712 713 714 715 716 717 718 719 719 720 721 722 723 724 725 726 727 728 729 729 730 731 732 733 734 735 736 737 738 739 739 740 741 742 743 744 745 746 747 748 749 749 750 751 752 753 754 755 756 757 758 759 759 760 761 762 763 764 765 766 767 768 769 769 770 771 772 773 774 775 776 777 778 779 779 780 781 782 783 784 785 786 787 788 789 789 790 791 792 793 794 795 796 797 797 798 798 799 799 800 801 802 803 804 805 806 807 808 809 809 810 811 812 813 814 815 816 817 818 819 819 820 821 822 823 824 825 826 827 828 829 829 830 831 832 833 834 835 836 837 838 839 839 840 841 842 843 844 845 846 847 848 849 849 850 851 852 853 854 855 856 857 858 859 859 860 861 862 863 864 865 866 867 868 869 869 870 871 872 873 874 875 876 877 878 879 879 880 881 882 883 884 885 886 887 888 889 889 890 891 892 893 894 895 896 897 897 898 898 899 899 900 901 902 903 904 905 906 907 908 909 909 910 911 912 913 914 915 916 917 918 919 919 920 921 922 923 924 925 926 927 928 929 929 930 931 932 933 934 935 936 937 938 939 939 940 941 942 943 944 945 946 947 948 949 949 950 951 952 953 954 955 956 957 958 959 959 960 961 962 963 964 965 966 967 968 969 969 970 971 972 973 974 975 976 977 978 979 979 980 981 982 983 984 985 986 987 988 989 989 990 991 992 993 994 995 996 997 997 998 998 999 999 1000 1000

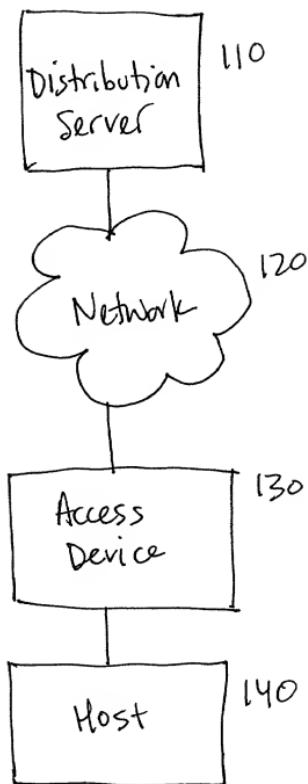


FIG. 1 100

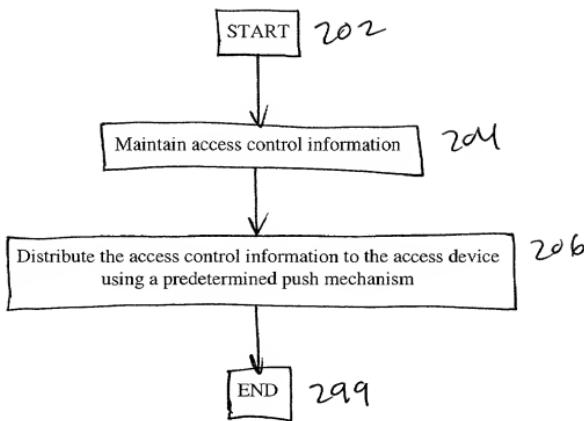


Fig. 2 *200*

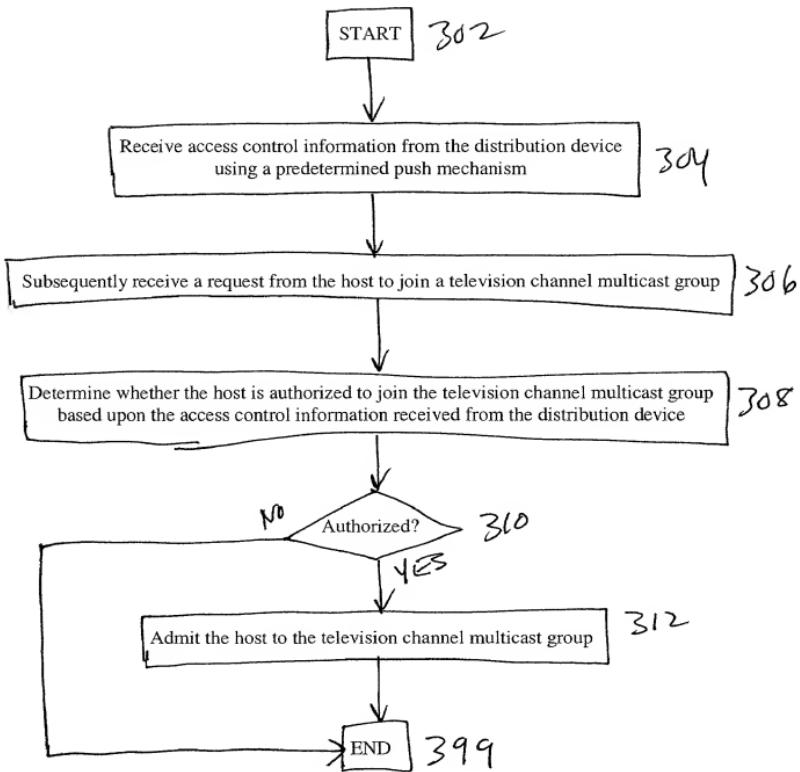


FIG. 3 300

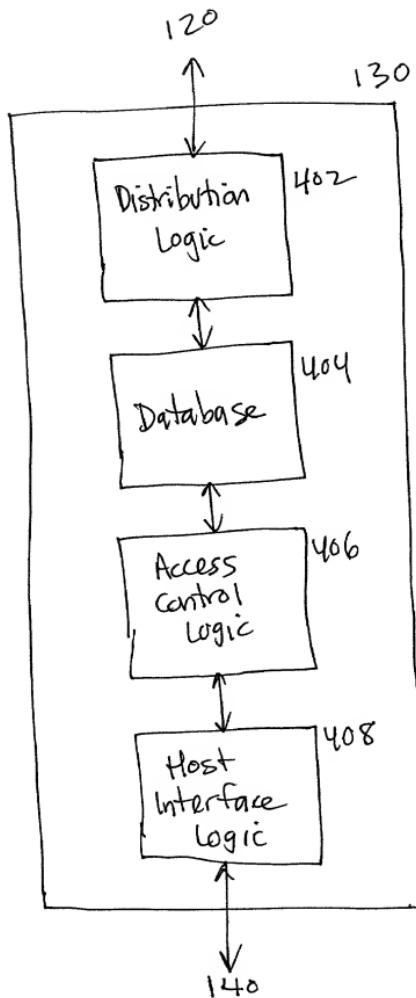


FIG. 4

Docket No.  
2204/A50

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### SYSTEM, DEVICE, AND METHOD FOR RECEIVER ACCESS CONTROL IN AN INTERNET TELEVISION SYSTEM

the specification of which

(check one)

is attached hereto.

was filed on \_\_\_\_\_ as United States Application No. or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

### Prior Foreign Application(s)

Priority Not Claimed

(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

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(Application Serial No.)

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(Filing Date)

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(Application Serial No.)

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(Application Serial No.)

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(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

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(patented, pending, abandoned)

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(patented, pending, abandoned)

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(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

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